JC06 Rec'd PCT/PTO 21 OCT 2005

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE FORM PTO-1390 (Modified) ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES MIPFP170 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/006353 30 April 2004 (30.04.2004) 01 May 2003 (01.05.2003) TITLE OF INVENTION COLOR BALANCE ADJUSTMENT REFERENCING SPECIFIC COLOR REPRODUCTION APPLICANT(S) FOR DO/EO/US Ikuo HAYAISHI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: × This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3 (9) and (24) indicated below. × The US has been elected (Article 31). 4  $\boxtimes$ 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))  $\Box$ is attached hereto (required only if not communicated by the International Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US).  $\boxtimes$ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6.  $\boxtimes$ a. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4).  $\boxtimes$ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). a. П b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). X 9. An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409), 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. X A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).  $\boxtimes$ 22. Express Mail Label No. EV725181736US 23. Other items or information: Transmittal, Check, Return Receipt Postcard

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P10-1390 (Hev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2004/006353 MIPFP170 The following **CALCULATIONS PTO USE** 24. \$300 \$300.00 25. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$200.00 \$100 \$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to \$100 International Search Report prepared and provided to the Office . . . . . . . . . . . . \$400 \$400.00 \$500 TOTAL OF 24, 25 and 26 = \$900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or RATE fraction thereof (round up to a whole 29 - 100 = O /50 = \$250.00 \$ \$0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)) **CLAIMS NUMBER FILED** NUMBER EXTRA RATE Total claims - 20 = \$50.00 \$0.00 x Independent claims 4 - 3= \$200.00 x \$200.00 MULTIPLE DEPENDENT CLAIMS (if applicable) \$360.00 \$0.00 TOTAL OF ABOVE CALCULATIONS = \$ \$1,100.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$0.00 reduced by 1/2. SUBTOTAL = \$ \$1,100.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$0.00 the earliest claimed priority date (37 CFR 1.492(f)). \$1,100.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$40.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property **TOTAL FEES ENCLOSED =** \$1,140.00 Amount to be Amount to be a. A check in the amount of \$ \$1,140.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0805/MIPF170 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Peter R. Martine SIĞNATURE Martine Penilla & Gencarella, LLP Peter B. Martine 710 Lakeway Drive, Suite 200 Sunnyvale, California 94085 NAME Telephone: (408) 749-6902; Fax: (408) 749-6901 32,043 **CUSTOMER NO. 25920** REGISTRATION NUMBER

## JC09 Rec'd PCT/PTO 21 OCT 2005,

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re t                                   | he application of        | ) |                        |
|-------------------------------------------|--------------------------|---|------------------------|
|                                           |                          | ) | Examiner: Unassigned   |
| Ikuo HAYAISHI                             |                          | ) |                        |
|                                           |                          | ) | Art Unit: Unassigned   |
| Application No. [to be assigned]          |                          | ) |                        |
|                                           |                          | ) | Docket No. MIPFP170    |
| International Filing Date: April 30, 2004 |                          | ) |                        |
|                                           | -                        | ) | Date: October 21, 2005 |
| For:                                      | COLOR BALANCE ADJUSTMENT | ) | ,                      |
|                                           | REFERENCING SPECIFIC     | ) |                        |
|                                           | COLOR REPRODUCTION       | ) |                        |

**CERTIFICATE OF EXPRESS MAILING** 

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on October 21, 2005 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number EV725181736US, addressed to the Commissioner for Patents, Mail Stop: PCT, Designated/Elected Office (DO/EO/US), Alexandria, VA 22313-1450.

Diane Schwanbeck

## TRANSMITTAL OF DOCUMENTS FOR FILING UNDER 35 U.S.C. § 371

Designated/Elected Office (DO/EO/US) Post Office Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby transmits the following documents for the above-identified patent application:

- 1. Transmittal Letter to the United States Designated/Elected Office:
- 2. English Translation of as-filed international application;
- 3. Combined Declaration and Power of Attorney;
- 4. Copy of the International Search Report;
- 5. Assignment and Assignment Recordation Cover Sheet;
- 6. A check in the amount of \$1,140.00; and
- 7. Preliminary Amendment.

Applicant believes that no further fees are due in connection with the filing of the above-listed documents. If additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0805 (Order No. MIPFP170).

Respectfully submitted,
MARTINE PENILLA & GENCARELLA, LLP

Peter B. Martine Reg. No. 32,043

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Attorney Docket No. MIPFP170